



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: _____

Date & Time Received: _____

Date & Time of Response: _____

Entity Requesting FRF: _____

Title of Project: _____

Administrative Oversight: _____

Amount of Funding Requested: _____

Eligibility Determination:

- ☐ FRF eligible
☐ FRF ineligible
☐ Additional information requested

FRF Eligibility Category:

- | | |
|--|---|
| <input type="checkbox"/> (1) Public Health and Economic Impact | <input type="checkbox"/> (2) Premium Pay |
| <input type="checkbox"/> (3) Government Services/Lost Revenue | <input type="checkbox"/> (4) Water, Sewer, Broadband Infrastructure |

U.S. Department of Treasury Reporting Expenditure Category: _____

- ☐ Missing Form
- ☐ Supporting documentation missing
- ☐ Project will not be completed by 12/31/2026
- ☐ Ineligible purpose
- ☐ Submitter failed to timely submit CARES reports
- ☐ Additional information submitted is insufficient to make a proper determination
- ☐ Expenditure Plan incomplete
- ☐ Funds will not be obligated by 12/31/2024
- ☐ Incorrect Signatory
- ☐ Inconsistent with applicable NN or federal laws

[illegible]

Name of DOJ Reviewer:

Signature of DOJ Reviewer: Vm Blukht

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDNJ Initial Eligibility Determination is based on the documents provided, which NNDNJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDNJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

**THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR GOVERNANCE-CERTIFIED CHAPTERS**

Part 1. Identification of parties.

Governance-Certified Chapter requesting FRF: Baca Prewitt Chapter

Date prepared: 05/02/2023

Chapter's mailing address: Post Office Box 563
Prewitt New Mexico 87045

phone & email: 505-972-9917/baca@navajochapters.org

website (if any): baca.navajochapters.org

This Form prepared by: Sharon Loley

phone/email: 505-972-9917

Chapter Manager

sloley@nnchapters.org

CONTACT PERSON'S name and title

CONTACT PERSON'S info

Title and type of Project: Baca Prewitt Chapter Water Heater Upgrade

Chapter President: Cecil Lewis Jr., phone & email: 505-972-9917/clewis@naataaii.org

Chapter Vice-President: Cindy Howe phone & email: 505-972-9917/chowe@naataaii.org

Chapter Secretary: Geneva Werito phone & email: 505-972-9917/gwerito@navajochapters.org

Chapter Treasurer: Geneva Werito phone & email: 505-972-9917/gwerito@navajochapters.org

Chapter Manager or CSC: Sharon Loley phone & email: 505-972-9917/sloley@navajochapters.org

DCD/Chapter ASO: Casey Begay phone & email: 505-786-2091/casey_begay@nndcd.org

List types of Subcontractors or Subrecipients that will be paid with FRF (if known): Unknown

☐ document attached

Amount of FRF requested: 10,000.00 FRF funding period: October 01, 2022 - September 30, 2026

indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

Baca Prewitt Chapters' water heater upgrade includes purchasing and installing a bigger water heater and more efficient to contain hot water for the chapter and community usage. By being a border town, we have had outbreak of Covid-19, which impacted our community severely. With this the community members who do not have running water will have access to hot showers and restroom to wash and sanitize their hands at Baca Prewitt Chapter.

☐ document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo communities, or the Navajo People:

This provides the Baca Prewitt community the accessibility to access the shower room and restroom at their discretionary during the Administration working hours.

☐ document attached

(c) A prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024 and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026:

APPENDIX A

Plan, design, and purchasing of water heater.

☐ document attached

d) Identify who will be responsible for implementing the Program or Project.

Baca Prewitt Chapter Manager & Chapter Officials

☐ document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively.

Baca Prewitt Chapter will be responsible to maintain the facilities.

☐ document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why.

Category: 1.10-COVID-19 Aid to Impacted Industries^

As stated above, we are a border town who was impacted by COVID-19 virus by high risk and constant on the red alert for COVID-19. The current water is faulty and not reliable for the community members and does not produce hot water consistently.

☐ document attached

Part 3. Additional documents.

List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A)

Appendix A
Budget Form
Appendix J

☐ Chapter Resolution attached

Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies.

Chapter's
Preparer


signature of Preparer (CONTACT PERSON)

Approved by


signature of Approver (Chapter Manager)

Approved by


signature of Approver (Chapter President or Vice President)

Approved by


signature of Approver (Chapter Treasurer)

Approved to submit
for Review


signature of Approver (Chapter Secretary) 5/23/23

FY 2023

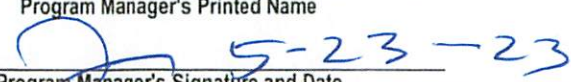
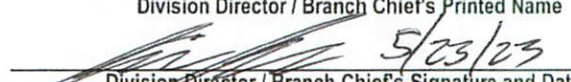
**THE NAVAJO NATION
PROGRAM BUDGET SUMMARY**

Page 1 of 3
BUDGET FORM 1

PART I. Business Unit No.: <u>NEW</u>		Program Title: <u>Baca/Prewitt Chapter - Water Heater upgrade</u>		Division/Branch: <u>DCD/EXECUTIVE</u>	
Prepared By: <u>Sharon Loley</u>		Phone No.: <u>505-972-9917</u>		Email Address: <u>sloley@mnchapters.org</u>	

PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
NN Fiscal Recovery Funds	10/1/22-9/30/26	10,000.00	100%	2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services				
				7000 Special Transactions				
				8000 Public Assistance	6	0	10,000	10,000
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		\$0.00	10,000.00	10,000

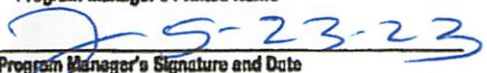

PART IV. POSITIONS AND VEHICLES	(D)	(E)
Total # of Positions Budgeted:	0	0
Total # of Vehicles Budgeted:	0	0

PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.	
SUBMITTED BY: <u>James Adakai, Deputy Director</u> Program Manager's Printed Name <div style="text-align: center;">  Program Manager's Signature and Date </div>	APPROVED BY: <u>Calvin Castillo, Executive Director</u> Division Director / Branch Chief's Printed Name <div style="text-align: center;">  Division Director / Branch Chief's Signature and Date </div>

FY 2023

**THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA**

Page 2 of 3
BUDGET FORM 2

PART I. PROGRAM INFORMATION:									
Business Unit No.: <u>NEW</u>			Program Name/Title: <u>Boca/Prowitt Chapter - Water Heater upgrade</u>						
PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:									
Resolution # <u>BPC/23/05/43</u>									
PART III. PROGRAM PERFORMANCE CRITERIA:									
		1st QTR		2nd QTR		3rd QTR		4th QTR	
		Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement:									
Boca Prowitt will upgrade the water heater to assist community members for facility usage									
Program Performance Measure/Objective:									
Upgrading 1 water heater to a bigger capacity for hot water								1	
2. Goal Statement:									
Program Performance Measure/Objective:									
3. Goal Statement:									
Program Performance Measure/Objective:									
4. Goal Statement:									
Program Performance Measure/Objective:									
5. Goal Statement:									
Program Performance Measure/Objective:									
PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.									
James Adakai, Deputy Director					Calvin Castillo, Executive Director				
Program Manager's Printed Name					Division Director/Branch Chief's Printed Name				
									
Program Manager's Signature and Date					Division Director/Branch Chief's Signature and Date				

FY 2023

**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

Page 3 of 3
BUDGET FORM 4

PART I. PROGRAM INFORMATION:

Program Name/Title: Baca/Prewitt Chapter - Water Heater upgrade Business Unit No.: NEW

PART II. DETAILED BUDGET:

(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
8000 8500	Assistance Infrastrure (non-cap) 8560-Contingencies Infra "Non-Cap" Purchsing and upgrading one (1) Water heater with a bigger capacity to hold more hot water for Baca Prewitt Chapter	10,000	10,000
		TOTAL	

Page 1 of 1
PROJECT FORM

FOR OMB USE ONLY: Resolution No: FMIS Set Up Date: Company No: OMB Analyst:



Baca/Prewitt Chapter

Cecil Lewis, Jr., President
Cindy V. Howe, Vice President
Geneva Werito, Secretary-Treasurer
George Tolth, Council Delegate
Sharon Loley, Chapter Manager
Vacant, Administrative Assistant
Kevin Long, Land Board



Buu Nygren
Navajo Nation President

Richelle Montoya
Navajo Nation Vice-President

RESOLUTION OF BACA/PREWITT CHAPTER BPC/23/05/43

ACCEPTING AND APPROVING THE REQUEST TO UTILIZE DELEGATE TOLTH REGIONAL ARPA EXPENDITURE PLAN FUNDS IN THE AMOUNT OF \$10,000 TO PLAN, DESIGN, AND IMPLEMENT A UPGRADE ON WATER HEATER PROJECT

WHEREAS:

1. Pursuant to 26 NNC Section 3(A), the Baca/Prewitt Chapter is a duly recognized certified Chapter of the Navajo Nation government as listed at 11 NNC. Part 1, Section 10; and
2. Pursuant to 26 NNC Section 1(B), the Baca/Prewitt Chapter is vested with the authority to review all matters affecting the community to make appropriate corrections when necessary and to make recommendations to the Navajo Nation and other local agencies for appropriate actions; and
3. Baca/Prewitt Chapter, as a part of Honorable Delegate George Tolth's Region, was allocated funds from the American Rescue Plan Act (ARPA) Delegate Regional Expenditure plan is to provide services to the Community of Baca/Prewitt Chapter; and
4. Baca/Prewitt Chapter, knows that the Fiscal Recovery Funds are specifically intend to respond to the COVID-19 public health emergency, and its negative economic impacts, including assisting our community, and
5. Pursuant to CJN-29-22 the Navajo Nation Central Government may award funding to the LGA-Certified Chapters through sub-recipient agreements to implement and manage specific projects, Baca/Prewitt would like to exercise its LGA authority to receive the Navajo Nation Fiscal Recovery Fund and manage the projects; and
6. Baca/Prewitt Chapter believes it is in the best interest of the community and its chapter to support and approve the Baca/Prewitt Expenditure Plans for the benefit of its community, especially during the on-going COVID-19 pandemic.

NOW THEREFORE BE IT RESOLVED THAT:

1. The Baca/Prewitt Chapter membership hereby approves and respectfully submits the funding proposal packet and budget in the amount of \$10,000 to plan, design, and implement a upgrade on water heater project
2. The goal of our project is to ensure the community and their families are living in safe and healthy environment
3. Furthermore, the Baca/Prewitt Chapter would like to exercise its LGA authority to receive the Navajo Nation Fiscal Recovery Fund and manage the projects.

Post Office Box 563 Prewitt, New Mexico 87045,
Phone: (505) 972-9917 Fax: (505) 972-4221



Baca/Prewitt Chapter

Cecil Lewis, Jr., President
Cindy V. Howe, Vice President
Geneva Werito, Secretary-Treasurer
George Tolth, Council Delegate
Sharon Loley, Chapter Manager
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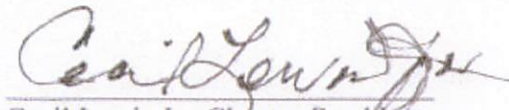


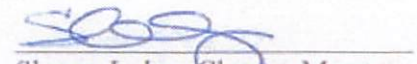
Buu Nygren
Navajo Nation President

Richelle Montoya
Navajo Nation Vice-President

CERTIFICATION:

We, hereby, certify the foregoing resolution was duly considered by the Baca/Prewitt Chapter at a duly called meeting in Baca, Navajo Nation, New Mexico at which a quorum of Chapter members was present with a motion by Bobby Mescale and second by Elsie Begay and that the same was passed by a vote of 13 in favor, 00 opposed, and 05 abstained this 08th day of May 2023.


Cecil Lewis Jr., Chapter President


Sharon Loley, Chapter Manager